



021304

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Case No. 10445/13

22857 U.S. PTO
10/779393



021304

PATENT APPLICATION TRANSMITTAL LETTER

To the Commissioner for Patents:

Transmitted herewith for filing is the patent application of: Harumi Ooshima et al. for : DISC BRAKE. Enclosed are:

- ☒ 6 sheet(s) of drawings, 14 pages of application (including title page), and the following Appendices : N/A.
- ☒ Declaration.
- ☒ Power of Attorney.
- ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☒ Assignment transmittal letter and Assignment of the invention to : Tokico Ltd.
- ☒ Information Disclosure Statement, Form PTO-1449, 1 Cited Reference.
- ☒ Priority Document (JP2003-103166).

Claims as Filed	Col. 1	Col. 2
For	No. Filed	No. Extra
Basic Fee		
Total Claims	13-20	0
Indep. Claims	2-3	0
Multiple Dependent Claims Present		

*If the difference in col. 1 is less than zero, enter "0" in col. 2.

Small Entity	
Rate	Fee
	\$ 385
x\$9=	\$
x\$43=	\$
+\$145=	\$
Total	\$

Other Than Small Entity	
Rate	Fee
	\$ 770
x\$18=	\$
x\$86=	\$
+\$290=	\$
Total	\$770

- ☐ Please charge my Deposit Account No. 23-1925 in the amount of \$: _____. A duplicate copy of this sheet is enclosed.
- ☒ Checks; one in the amount of \$770 to cover the basic filing fee, one in the amount of \$40 to cover the assignment recordal filing fee, are enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
 - ☒ Any additional filing fees required under 37 CFR § 1.16.
 - ☒ Any patent application processing fees under 37 CFR §1.17.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
 - ☐ Any filing fees under 37 CFR § 1.16 for presentation of extra claims.
 - ☐ Any patent application processing fees under 37 CFR § 1.17.
 - ☐ The issue fee set in 37 CFR § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR § 1.311(b).

February 13, 2004
Date

Tadashi Horie
BRINKS HOFER GILSON & LIONE
Registration No. 40,437
Customer No. 00757 - Brinks Hofer Gilson Lione

Inventor(s): Harumi Ooshima, Jun Watanabe and Junichi Hashimoto
Title: Disc Brake

POWER OF ATTORNEY

The specification of the above-identified patent application:

- ☒ is attached hereto
☐ was filed on _____ as application Serial No.

I hereby revoke all previously granted powers of attorney in the above-identified patent application and appoint the following attorneys to prosecute said patent application and to transact all business in the Patent and Trademark Office connected therewith:

Tadashi Horie 40,437
Gregory H. Zayia 48,059

Please address all correspondence and telephone calls to Tadashi Horie in care of:

Brinks Hofer Gilson & Lione
P.O. Box 10395
Chicago, IL 60610
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The undersigned hereby authorizes the U.S. attorneys named herein to accept and follow instructions from Setsuo Mitobe or Toshiaki Takeuchi as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorneys named herein will be so notified by the undersigned.

Tokico Ltd., a Japanese Corporation, certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:


- ☒ An assignment from the inventor(s) of the patent application identified above, a copy of which is attached hereto.
OR
☐ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, frame _____.
OR
☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:
1. From _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____, frame _____, or a copy thereof is attached.
 2. From _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____, frame _____, or a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

The undersigned has reviewed the assignment or all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature:  Date: Jan. 28, 2004
Name: Setsuo Mitobe
Title: Group Manager, Intellectual Property Group, Research Laboratory, Tokico Ltd.